

**Government of Assam**  
Planning & Development Department  
PPP Cell, 3<sup>rd</sup> Floor, Block-F, Assam Secretariat, Dispur  
Expression of Interest

From

Firm/ Consortium/ Company for Establishment of Equipment Bank in Assam in  
**Model-I Design Build Finance Operate (Govt. Support Model) Model-II  
Design Build Finance Operate & Transfer (DBFOT)**

Planning & Development Department, Government of Assam proposes to Establish Equipment Bank in Assam on the basis of Public Private Partnership(PPP) model, invites Expression of Interest from the competent Firm/ Consortium/Company to develop and O & M of the same in PPP mode. The Firm/ Consortium having the following eligibility criteria are invited to express their interest by submitting an Expression of Interest (EoI) for the Project.

Eligibility Criteria:

- I. A minimum experience of three years in the relevant field.( Construction/ Development/Creation/Operation & Maintenance of projects such as Equipment Banks, providing equipment on lease/rent basis, construction & operation of similar project)
  - II. A minimum turnover of Rs.5 Crore in any of the last three years.
1. The detailed eligibility criteria shall be provided at RFP stage to interested parties for submission of their Technical & Financial Bids, during next stage of bidding process.
  2. The Pre-bid meeting for the Project shall be conducted at Conference Hall, Principal Secretary,4<sup>th</sup> Floor, Block-D, on 18<sup>th</sup> February'2014 at 11.30 A.M

Interested firms/ Consortium are required to submit their comprehensive profile giving the following details:

- A. Name, Address and Registration details ( Including year of incorporation or year of experience )
- B. A brief profile of the Firm/Consortium( In case of Consortium, an agreement indicating the association to be furnished)- **Annexure -I**
- C. Name and short CVs of key personnel(with details of manpower strength and competency)

D. Details of similar projects undertaken in respective specified fields mentioned above, cost of the project, year and name of funding agency-  
**Annexure-2**

E. Audited Balance Sheet for last three years-**Annexure-3**

Sealed EOI should be marked clearly on top of the envelop “Expression of Interest for Establishment of Equipment Bank in Assam”

The letter of Expression of Interest should be addressed to the Principal Secretary, Planning & Development Department, 4<sup>th</sup> Floor, Block-D, Assam Secretariat, P.O Dispur, Guwahati-781006, Assam, India on or before 2.00 P.M, 24<sup>th</sup> February’2014 and the EOI will be opened at 3.P.M on the same day.

In case of any clarification, please contact:

Additional Director (PPP)  
Planning & Development Department  
4<sup>th</sup> Floor, Block-F, Assam Secretariat, Dispur-781006  
Guwahati, Assam  
Email: [assamppp@gmail.com](mailto:assamppp@gmail.com)  
Website: <http://www.assamppp.gov.in>  
Ph:+91-361-2237425/09435307854

Government of Assam reserves the right to reject or accept all or any of the EOI or to hold, modify, withdraw or cancel the process without assigning any reasons whatsoever. Mere submission of the EOI does not vest any right for being selected for the project.

## PROJECT BACKGROUND

### Project features:

**Total area:** 6150 sq meters

### Mandatory Equipment list:

S. No	Type of equipment	Number
1.	Shuttering plant	2
2.	Concrete mixer	2
3.	Needle vibrator	2
4.	Batching plant	1
5.	Excavators	6
6.	Earth vibratory compactor	3
7.	Graders	3
8.	Backhoe loaders	7
9.	Wheel loaders	3
10.	Wet Mix plant	1
11.	Crushers(200 ton per hour capacity)	1
12.	Hot mix plant	1
13.	Tandum Roller	1
14.	Pneumatic roller	1
15.	Mechanical Boomer	1
16.	Mechanical Compressor	1
17.	Bitumen Bowser	1
18.	Tippers	30
19.	Dumpers	1
20.	Dozers	1
21.	Piling rig	1
	<b>Total</b>	<b>66</b>

**Indicative Project Cost:**

- INR 10.75 Crore

**Scope of the work:**

The scope of work will broadly include construction of Equipment Bank including all the project components i.e. (Equipment Yard, Repair Yard, Workshop, Parking Yard, Store, Office space, Training & Research Centre etc.), necessary infrastructure within the project and the operation and maintenance thereof.

**Indicative Models:****1. Model I: Design Build Finance Operate (Govt. Support Model):**

The Private Party will bring the land, equipment, construct the facility and operate and maintain the project. To make the project viable, Implementing/Sponsoring Agency need to offer some capital grant and fixed O&M Grant. The following forms of options may be offered to the private party to make the project viable:

- Option 1 : Capital Grant
- Option 2 : O&M Grant
- Option 3 : Capital Grant & O&M Grant both

**The key characteristics of this Model are:**

- **Design risk:** with the Private Party
- **Construction risk:** with the Private Party
- **Asset ownership/Control of Asset:** with the private player/SPV based on the chosen model of development
- **Operation & Maintenance :** by Private Party
- **Business Risk:** with the Private Party
- **Financial Support:** Likely that financial support shall be required to make the project viable. Financial support may be in form of
  - Capital Grant
  - Capital Grant and O&M Grant
- **Period of payment of O&M-** may be capped at 5-7 years

## **2. Model II: Design Build Finance Operate & Transfer (DBFOT):**

The land will be provided by the Govt, if available or Private Party may identify suitable land parcel and Govt. will acquire and hand over to Private Party for development of Equipment Bank.

### **The key characteristics of DBFOT model are:**

- **Design risk:** with the Concessionaire
- **Construction risk:** with the Concessionaire
- **Asset ownership/Control of Asset:** with the Concessionaire during Concession Period and with the Implementing Agency/Sponsoring after the Concession Period
- **Operation & Maintenance:** by Concessionaire
- **Business Risk:** with the Concessionaire
- **Grant:** generally required when the project is unviable
- **Concession Period:** 15-30 years

Concession Agreement would be signed both the parties, which will define the contractual obligation/ roles & responsibilities/ exit conditions/ termination condition/ conditions precedent/ Payment Mechanisms for both the parties.

## FORMAT FOR EXPRESSION OF INTEREST (EOI) SUBMISSION

### APPENDIX I- FORM-1

#### Particulars of the Applicant

1.1	Name of the Applicant/ Lead member in case of consortium:		
1.2	Type of the services provided by the Applicant:		
1.3	State whether applying as Sole Firm/Consortium:		
1.4	Member of the Consortium (in case of consortium)		
	Sr. No	Name of the Company	Type of Member (Financial/Technical/Other – specify)
	1		
	2		
	3		
	4		
1.5	State the following ( for all the members of the Consortium in case of consortium):  Name of Firm: Legal status: Country of incorporation: Registered address: Year of Incorporation: Year of commencement of business: Principal place of business:  Name, designation, address and phone numbers of authorised signatory of the Applicant: Name: Designation:		

	<p>Company:  Address:  Phone No.:  Fax No. :  E-mail address:</p>
1.6	<p>In case the Applicant or any of the Members, in case of consortium is a foreign company, state the following information:  Country of Incorporation:  Does the Firm have business presence in India?  Yes/No  If yes, provide the office address (es) with e mail, contact no. in India.</p>
1.7	<p>Has the Applicant or any of the Members in case of consortium been penalized by any organization for poor quality of work or breach of contract in the last five years?  Yes/No</p> <p>Has the Applicant/ or any of the Members in case of consortium or any of its Associates ever failed to complete any work awarded to it by any public authority/ entity in last five years?  Yes/No</p> <p>Has the Applicant/ or any of the Members in case of consortium or any of its Associates, been blacklisted by any Government department/Public Sector Undertaking in the last five years?  Yes/No</p> <p>Has the Applicant/ or any of the Members in case of consortium or any of its Associates, suffered bankruptcy/ insolvency in the last five years?  Yes/No</p>
	<p>(Signature, name and designation of the authorised signatory)  For and on behalf of .....</p>

APPENDIX I- FORM-2

**Details of Applicant's previous assignments**

**(In case of consortium, provide details of previous relevant assignments of each consortium members)**

Name of the Project:	
Name of the Firm (executing the Project) -	
Description of services performed by the Applicant firm:	
Name of client and Address:	
Estimated capital outlay of the Project (in Rs. Crore):	
Start date and finish date of the services (month/ year):	
Brief description of the Project:	
Status of financial closure	

Notes:

1. Use separate sheet for each Assignment.
2. Provide documentary proofs for each Assignment

APPENDIX I- FORM-3

**Financial Capacity of the Applicant/ Financial member in case of Consortium**

<b>S. No.</b>	<b>Financial Year</b>	<b>Annual Turnover (Rs. in Crore)</b>
1	2012-13	
2	2011-12	
3	2010-11	
<p align="center"><b><u>Certificate from the Statutory Auditor<sup>1</sup></u></b></p> <p>This is to certify that..... (Name of the Applicant) has registered an annual turnover shown above against the respective years.</p> <p>(Signature, name and designation of the authorised signatory)</p> <p>Date: _____ Name and seal of the audit firm</p>		

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<sup>1</sup> In case the firm does not have a statutory auditor, it shall provide the certificate from its chartered accountant that ordinarily audits the annual accounts of the firm.